

Electronic Acknowledgement Receipt

EFS ID:	3508736
Application Number:	10775868
International Application Number:	
Confirmation Number:	1175
Title of Invention:	Insider trading risk management
First Named Inventor/Applicant Name:	David Lawrence
Customer Number:	28062
Filer:	Randolph P. Calhoun/Edith Martin
Filer Authorized By:	Randolph P. Calhoun
Attorney Docket Number:	G08.130/U
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Application Type:	Utility under 35 USC 111(a)

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Authorized User	CALHOUNE,RANDOLPH P.

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment - After Non-Final Rejection	G08130U_Response_to_NF OA_2-25-08.pdf	75816	no	10
			383f5b1c3ee6e4f7addd42d6e5dfc3717467fd97		

Warnings:**Information:**

2	Extension of Time	G08130U_1MO_Extensionof Time.pdf	262274	no	1
			e096429593e1a38538a9b6d75dad1c2a259b1e3d		

Warnings:**Information:**

3	Miscellaneous Incoming Letter	G08130U_ResponseTransmittal_to_NFOA_2-25-08.pdf	70380	no	2
			63235b62f010748a0196b286f1c25bab5d35ac1e		

Warnings:**Information:**

4	Fee Worksheet (PTO-06)	fee-info.pdf	8135	no	2
			43091f024d723a43211d46a0e09ab7a29175f085		

Warnings:**Information:**

Total Files Size (in bytes):	416605
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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